



Considerations for Self-Reporting

Use this checklist to help determine if you should make a self-report to the BACB Ethics Department. Always refer to the BACB website to access and review the most current version of the [RBT Ethics Code](#) or the [Professional and Ethical Compliance Code for Behavior Analysts](#). More guidance on self-reporting requirements and considerations can be found in the [November 2016](#) and [April 2017](#) BACB Newsletters.

This checklist is based on sections 10.02(a), (b), (c) of the Professional and Ethical Compliance Code for Behavior Analysts (Compliance Code), as well as the Preamble of the RBT Ethics Code.

Timely reporting requires the individual to self-report within 30 days of becoming aware of any of the conditions listed in the Preamble of the RBT Ethics Code or 10.02 of the Professional and Ethical Compliance Code for Behavior Analysts.

If you answer yes to any of the questions, you should self-report to the BACB Ethics Department.

Related Ethics Requirement Element	Question to consider	Yes	No
RBT Ethics Code Preamble Professional and Ethical Compliance Code for Behavior Analysts 10.02(a)	Have you violated an ethics requirement?		
	Have you been the subject of any criminal legal actions, including filing of criminal charges, arrest, plea of guilty or no contest, presentencing agreements, diversion agreements, convictions, and any period of custody in a jail, prison, or community corrections setting such as a “halfway house”?		
	Have you been the subject of any civil legal actions, including filing of lawsuits, or any legal actions in which you have been named or identified (even if not a direct party to the suit)?		
	Have you been the subject of any regulatory actions, including investigations, consent agreements, administrative law proceedings, mediation, arbitration, etc.?		
	Have you been the subject of any healthcare agency and employer actions, including investigations and sanctions for incompetent or neglectful service delivery?		
RBT Ethics Code Preamble Professional and Ethical Compliance Code for Behavior Analysts 10.02(b)	Have you been issued a public health-and safety-related ticket or fine related to an incident that may indicate a physical or mental condition that could impact the competent delivery of services?		
	Have you been issued a public health- and safety-related ticket or fine related to an incident that is evidence of another Compliance Code violation (e.g., a citation for negligently leaving a client unattended)?		
	Have you been issued a public health- and safety-related ticket or fine related to an incident where a client was present (regardless of the amount of the fine)?		
	Have you been issued a public health- and safety-related ticket or fine related to an incident that involved the operation of a motor vehicle and the fine was greater than \$750?		
	Have you been issued a public health- and safety-related ticket or fine related to an incident that you were required to report to your professional liability insurance provider?		

Related Ethics Requirement Element	Question to consider	Yes	No
RBT Ethics Code Preamble Professional and Ethical Compliance Code for Behavior Analysts 10.02(b) cont.	Have you been issued a public health- and safety-related ticket or fine related to an incident that you were required to report to a client's third-party payer?		
	Have you been issued a public health- and safety-related ticket or fine related to an incident that you were required to report to a governmental regulatory board?		
	Have you been the subject of any investigation, charge, allegation, or sanction that could have placed the client at risk for harm or impacted the competent delivery of services?		
RBT Ethics Code Preamble Professional and Ethical Compliance Code for Behavior Analysts 10.02(c)	Have you been diagnosed, treated, or hospitalized for any mental or physical condition that could put clients at risk for harm?		
	Have you been diagnosed, treated, or hospitalized for any mental or physical condition that could impair the competent delivery of services?		